

# Answer Sheet 4

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**[F03] 2.1:** Let  $\mathbb{U}_\delta$  be the collection of  $\delta$ -covers of  $F$  and  $\mathbb{A}_\delta$  the collection of all closed  $\delta$ -covers of  $F$ . Clearly  $\mathbb{A}_\delta \subset \mathbb{U}_\delta$  and hence  $H_\delta^s(F)_\mathbb{A} \geq H_\delta^s(F)_\mathbb{U}$ . To show  $H_\delta^s(F)_\mathbb{A} \leq H_\delta^s(F)_\mathbb{U}$  we use that for any  $\{U_i\} \in \mathbb{U}$  means that  $\{cl(U_i)\} \in \mathbb{A}$  and as  $|cl(U_i)| = |U_i|$  the result follows.

**[F03] 2.2:** Assume that  $F$  is finite let  $\delta' \leq 1/2 \min_{x,y \in F} \{|x-y|\}$  then we can choose  $\{U_i\}$  a  $\delta \leq \delta'$ -cover such that for each  $x_i \in F$  we have a unique set  $U_i$  such that  $x_i \in U_i$  and  $i \neq j \Rightarrow U_i \cap U_j = \emptyset$ . Hence, as  $|U_i|^0 = 1$ , the sum  $\sum |U_i|^0$  is the number of points in  $F$  for all  $\delta \leq \delta'$ . Thus in the limit  $H^0(F)$  is just the counting measure. If  $F$  is infinite then choose a sequence of subsets of  $F$  denoted  $\{F_i\}$  such that each is finite and of cardinality  $i$  and also:

$$F_1 \subset F_2 \subset \dots \subset F_i \subset \dots$$

Hence  $H^0(F_i) = i$ , so  $\lim_{i \rightarrow \infty} H^0(F_i) = \infty$ . Thus as  $H^0(F_i) \leq H^0(F)$  we have  $H^0(F) = \infty$ .

**[F03] 2.3:** Any collection of sets cover the emptyset so clearly  $H^s(\emptyset) = 0$ . If  $U_i$  is a  $\delta$ -cover of  $F$  then  $U_i$  is also a  $\delta$ -cover of  $E$ . So the set of all  $\delta$  covers for  $F$  is contained in the set of all  $\delta$ -covers for  $E$  and hence  $H^s(E) \leq H^s(F)$ . Finally we need to show:

$$H^s\left(\bigcap_{i=1}^{\infty} F_i\right) \leq \sum_{i=1}^{\infty} H^s(F_i)$$

Assuming  $H^s(F_i) \leq \infty$  for all  $k$ . Given  $\epsilon > 0$ , for each  $k$  there exists a  $\delta$ -cover  $\{U_i^k\}$  of  $F_k$  such that:

$$\sum_i |U_i^k|^s < H_\delta^s(F_k) + \epsilon/2^k$$

By summing through the  $k$ 's we get:

$$\sum_k \sum_i |U_i^k|^s < \sum_k H_\delta^s(F_k) + \epsilon$$

The collection  $\bigcup_k \{U_i^k\}$  is a cover of  $\bigcup_k (F_k)$  and hence:

$$H_\delta^s\left(\bigcup_k (F_k)\right) \leq \sum_k \sum_i |U_i^k|^s < \sum_k H_\delta^s(F_k) + \epsilon$$

Letting  $\epsilon$  tend to zero we have for any  $\delta > 0$ :

$$H_\delta^s\left(\bigcup_k (F_k)\right) \leq \sum_k H_\delta^s(F_k)$$

thus

$$H^s\left(\bigcup_k (F_k)\right) \leq \sum_k H^s(F_k)$$

**[F03] 2.4:** The claim is that  $1/4 < H^1([0, 1]) < 1$ . To see  $H^1([0, 1]) < 1$  we choose a cover  $U_j^k = [(k-1)2^{-j}, k2^{-j}]$ . Clearly there are  $|\{U_j^k\}| = 2^j$  sets each of size  $|U_j^k| = 2^{-j}$ . Thus by choosing  $j$  large enough such that  $2^{-j} < \delta$  we have  $H_\delta^s([0, 1]) < \sum_{k=0}^{2^j} |U_j^k| = \sum_{k=0}^{2^j} 2^{-j} = 2^j 2^{-j} = 1$ . Thus  $H^s([0, 1]) < 1$ . To see  $1/4 < H^1([0, 1])$  we pick any  $\delta$ -cover  $\{U_i\}$ . For each  $U_i$  there exists  $k_i$  such that  $2^{-k_i-1} \leq |U_i| < 2^{-k_i}$ . In this case  $U_i$  can intersect with at most 2 of the intervals  $[(k-1)2^{-k_i}, k2^{-k_i}]$ . If  $j > k_i$  we can count up the maximum number of intervals of diameter  $2^{-j}$  that  $U_i$  can intersect which we find to be:

$$2^{1+j-k_i} = 2^{j+1}2^{-k_i} = 2^{j+2}2^{-k_i-1} \leq 2^{j+2}|U_i|$$

hence by choosing  $j$  larger than any of the  $k_i$ 's we can count up the intervals to get:

$$2^j \leq \sum_i 2^{j+2}|U_i| \Rightarrow 2^{-2} \leq \sum_i |U_i|$$

Hence as this is true for any  $\delta$ -cover we have  $1/4 < H^1([0, 1])$ .

**[F03] 2.5:**  $F$  bounded means for some  $R > 0$  and for all  $x \in F$  we have  $|x| < R$ . So we have a closed interval  $[-R, R]$  such that  $F \subset [-R, R]$  and as  $f$  cont.diff. we have  $f'$  is bounded in  $[-R, R]$ , Let  $c = \sup_{a \in [-R, R]} (|f'(a)|)$ . Clearly  $c > f'(x)$  for all  $x \in F$ . For any  $a, b \in F$  with  $a < b$  we have by the mean value theorem the existence of  $d$  such that  $d \in [a, b]$  and:

$$\frac{f(b) - f(a)}{b - a} = f'(d) \leq c \Rightarrow |f(b) - f(a)| \leq c|b - a|$$

hence  $f$  is lipshitz and we have  $\dim_H(f(F)) \leq \dim_H(F)$ . If  $F$  is not bounded then we take the sequence of bounded sets  $F_i$  defined by  $x \in F_i$  iff  $x \in F$  and  $|x| < i$ . Clearly  $F_i \subset F_{i+1}$  and as  $\lim_{i \rightarrow \infty} H^s(F_i) = H^s(\bigcup_{i=1}^{\infty} F_i)$  we have:

$$\lim_{n \rightarrow \infty} \dim_H(f(F_i)) \leq \lim_{n \rightarrow \infty} \dim_H(F_i)$$

taking limits:

$$\dim_H(f(F)) \leq \dim_H(F)$$

**[F03] 2.6:** Consider the set  $F_i = B_i(0) \cap F$   $B_{1/i}(0)$  for  $i \in \mathbb{N}$ . It's clear that  $f(x)$  is bi-lipshitz on  $F_i$  for all  $i$  with  $c_1 = 2/i$  and  $c_2 = 2i$ . Hence we have  $\dim_H(f(F_i)) = \dim_H(F_i)$  for a  $i$ . Taking limits as in the last question we get  $\dim_H(f(F)) = \dim_H(F)$ .

**[F03] 2.7:** If  $\{U_i\}$  is a  $\delta$ -cover for  $[0, 1]$  then clearly  $\{U_i \times f(U_i)\}$  is a cover of  $\text{graph}(f)$ . As  $f$  is lipshitz we have  $|U_i \times f(U_i)| \leq \sqrt{|U_i|^2 + c^2|U_i|^2} = |U_i|\sqrt{1 + c^2}$ . So  $\sum_i |U_i \times f(U_i)| \leq \sqrt{1 + c^2} \sum_i |U_i|$ . Hence  $H^1(\text{graph}(f)) \leq \sqrt{1 + c^2} H^1([0, 1]) = \sqrt{1 + c^2} \Rightarrow \text{Dim}_H(\text{graph}(f)) \leq 1$ . To see that  $\text{Dim}_H(\text{graph}(f)) \geq 1$  we consider the projection  $\pi : \mathbb{R}^2 \rightarrow \mathbb{R}$  given by  $\pi(x, y) = x$ . This is lipshitz and so we have:  $1 = H^1([0, 1]) = H^1(\pi(\text{graph}(f))) \leq H^1(\text{graph}(f))$  and the result follows.

**[F03] 2.8:** In each case given any  $s > 0$  choose a  $\delta$ -cover  $\{U_i\}$  such that  $x_i \in U_i$  where  $x_i$  is just the  $i$ -th element of  $F_1 = \{0, 1, \dots\}$  or  $F_2 = \{0, 2, 1/2, 1/3, \dots\}$ . For  $i > 0$  let  $|U_i| < \delta/i^{2/s}$ , and let  $|U_0| < \delta$ . Then in each case:  $\sum_i |U_i|^s = \sum_{i=1}^{\infty} (\delta^2/i^2) + \delta^2 = \delta^2(1 + \sum_{i=1}^{\infty} 1/i^2) = \delta^2\pi^2/6$ . This means that  $H_\delta^s(F_k) \leq \delta^2\pi^2/6 \rightarrow 0$  as  $\delta \rightarrow 0$ . Hence  $\text{dim}_H(F_k) = 0$ .

**[F03] 2.9:**  $F$  Can be constructed similarly to the cantor set. You partition  $[0, 1]$  into 10 intervals and remove the 5th. Then repeat for each of the 9 remaining intervals. Call the set resulting from the  $k^{\text{th}}$  stage in this process the  $k^{\text{th}}$  layer. Notice that we can shrink  $F$  into any of the 9 intervals in the  $2^{\text{nd}}$  layer. We then have:

$$H^s(F) = H^s(S_1(F)) + \dots + H^s(S_4(F)) + H^s(S_6(F)) + \dots + H^s(S_{10}(F))$$

Where  $S_i$  is the map of  $[0, 1]$  onto  $[i/10, (i + 1)/10]$ . Clearly  $H^s(S_i(F)) = (10^{-s})H^s(F)$ . From above we obtain  $H^s(F) = 9 \cdot (10^{-s})H^s(F)$ . Assuming  $H^s(F) \neq 0$  we get  $1 = 9 \cdot (10^{-s})$ . Hence  $\text{Dim}_H(F) = s = \log(9)/\log(10)$ .

**[F03] 2.10:** By a similar argument as above we can construct  $F$  by partitioning  $[0, 1] \times [0, 1]$  into 100 squares each with side  $1/10$  Then remove the  $5^{\text{th}}$  column and row. Again repeat this process to obtain  $F$ . In this case  $S_{i,j}$  contracts  $[0, 1] \times [0, 1]$  onto the  $(i, j)^{\text{th}}$  square where  $i, j \neq 5$ . Hence there are  $9^2$  possible contractions  $S_{(i,j)}$ . Each contracts by  $1/10$  and we get (assuming  $H^s(F) \neq 0$ ):

$$H^s(F) = 9^2(1/10)^s H^s(F) \Rightarrow 1 = 9^2(1/10)^s \Rightarrow \text{Dim}_H(F) = 2\log(9)/\log(10)$$

**[F03] 2.11:** Again similar to above but this time there are 5 contractions on  $[0, 1] \times [0, 1]$ , 4 to each of the smaller squares each a contraction of  $1/4$ , and 1 to the middle square, a contraction of  $1/2$  thus we have (assuming  $H^s(F) \neq 0$ ):

$$H^s(F) = (1/2)^s H^s(F) + 4(1/4)^s H^s(F) \Rightarrow (1/2)^s + 4(1/4)^s - 1 = 0$$

Let  $x = 2^{-s}$  then we have  $4x^2 + x - 1 = 0$  the solns of which are  $x = \frac{-1 \pm \sqrt{17}}{8}$ . Picking the positive root and solving for  $S$  we obtain:

$$s = \log\left(\frac{-1 + \sqrt{17}}{8}\right) / \log(1/2) \approx 1.35702$$

**[F03] 2.12:**  $F$  satisfies  $S(F) = F$  where  $S(x) = x+2$ . In fact  $F = \bigcup_{n=-\infty}^{\infty} S^n(C)$  where  $C$  is the normal cantor set on  $[0, 1]$ . Clearly  $\text{dim}_H(S^n(C)) = \text{dim}_H(C)$  then by countable stability we have  $\text{dim}_H(F) = \sup_{n \in \mathbb{Z}} (\text{dim}_H(S^n(C))) = \text{dim}_H(C)$ .

**[F03] 2.13:** Let  $F_k$  be all the  $x \in F$  such that for all  $l > k$ ,  $x = 0.a_0a_1\dots a_k\dots$ ,  $a_l \neq 1$  and  $a_{k-1} = 1$ . Notice that  $F_0 = C$  where  $C$  is the Cantor set. Similarly  $F_1 = 1/3C + 1/3$  and  $F_2 = \{1/9C + 1/9\} \cup \{1/9C + 4/9\} \cup \{1/9C + 7/9\}$ . In general we have:

$$F_i = \bigcup_{j=0}^{3^{i-1}-1} \left\{ \frac{C}{3^i} + \frac{1+3j}{3^i} \right\}$$

Noticing this we see that you can map  $F$  onto any of the three  $[0, 1/3]$ ,  $[1/3, 2/3]$  or  $[2/3, 1]$  with a contraction. Hence  $H^s(F) = 3(1/3)^s H^s(F)$  and so  $1 = 3(1/3)^s$  which implies  $s = 1$ .

**[F03] 2.14:** By the same argument as questions 2.10,2.11 we find a map  $S$  with contraction  $\frac{1-\lambda}{2}$  and hence  $H^s(S(F)) = (\frac{1-\lambda}{2})^s H^s(F)$ . We get  $H^s(F) = 2(\frac{1-\lambda}{2})^s H^s(F)$  and assumeing  $H^s(F) \neq 0$  we get:  $1 = 2(\frac{1-\lambda}{2})^s$  so  $\dim_H(F) = \log 2 / \log(\frac{2}{1-\lambda})$ . For  $E$  apply similar reasoning to Q2.10 to get  $H^s(E) = 4(\frac{1-\lambda}{2})^s H^s(E)$  and hence  $\dim_H(E) = \log 4 / \log(\frac{2}{1-\lambda}) = 2\log 2 / \log(\frac{2}{1-\lambda})$ .

**[F03] 2.15:** Partition  $[0, 1] \times [0, 1]$  by 16 squares and shrink each to have sides  $1/d$ . Then do repeat with each of these smaller squares and so on. The set  $F$  obtained at the end of this process has dimension  $s(d) = 4\log 2 / \log(d)$  where  $d \geq 4$ . To see this we find an upper bound by looking at the cover of the  $k^{\text{th}}$  level of squares. Given  $\delta > 0$  choose  $k$  s.t.  $d^{-k} < \delta$  then:

$$H^s(F) \leq \sum_{i=0}^{16^k} |U_i|^s = 16^k (1/d^k)^s \sqrt{2}^s = \sqrt{2}^{4\log(2)/\log(d)}$$

Next let  $\{U_i\}$  be any cover of  $F$ . Find  $k_i$  such that  $\sqrt{2}d^{-k_i-1} \leq |U_i| \leq \sqrt{2}d^{-k_i}$ . Thus  $U_i$  can intersect at most 4 of the  $16^{k_i}$   $k^{\text{th}}$  level sets. If we choose  $j > k_i$  we have  $U_i$  intersects at most:

$$4.16^{j-k_i} = 4.16^j d^{-sk_i} = 4.16^j d^s d^{-(s(k_i+1))} \leq 4.16^j d^s |U_i|^s$$

By choosing  $j$  large enough such that  $d^{-(j+1)} \leq |U_i|$  for all  $U_i$  we have:

$$16^j \leq \sum_i 4.16^j d^s |U_i|^s \Rightarrow \sum_i |U_i|^s \geq \frac{1}{4d^s} = 1/4^3$$

Hence we have:

$$1/4^3 \leq H^s(F) \leq \sqrt{2}^{4\log(2)/\log(d)}$$

**[F03] 2.16:** Let  $\varphi : [0, 1] \rightarrow \mathbb{R}^2$  be the parameterisation of the unit circle around 0.  $\varphi(t) = (\cos 2\pi t, \sin 2\pi t)$ .  $\varphi$  is bi-lipshitz on  $R_i = [1/2^i, 1 - 1/2^i]$ . write  $F_i = \varphi(C \cap R_i)$ . Clearly  $F_i \subset F$ ,  $F_i \rightarrow F$  and as  $\dim_H(F_i) = \dim_H(C)$  the result follows in taking the limit.