

**Lecture 17. 19.2.2013**

Recall (II.6):  $\psi(x) := \sum_{n \leq x} \Lambda(n) = \sum_{p^m \leq x} \log p = \sum_{p \leq x} [\log x / \log p] \log p$  (Chebyshev's notation for  $\psi$ ,  $\Lambda$  the von Mangoldt function),

$$\zeta'(s)/\zeta(s) = - \sum_1^\infty \Lambda(n)/n^s = -s \int_1^\infty \frac{\psi(x)}{x^{s+1}} dx \quad (Re\ s > 1).$$

As  $\Lambda(n) = \log n$  if  $n = p^m$ , 0 otherwise, if  $p_1, \dots, p_n$  are the primes  $\leq x$ , and  $k_j$  for the largest  $k$  with  $p_j^k \leq x$ , then each  $p_j^k$  ( $1 \leq k \leq k_j$ ) contributes  $\log p_j$  to  $\psi(x)$ , so  $\psi(x) = k_1 \log p_1 + \dots + k_n \log p_n$ . So:

**Proposition 1.**  $\psi(x) \leq \pi(x) \log x$ .

*Proof.*  $n = \pi(x)$  into the above, and then  $k_j \log p_j \leq \log x$  as  $p_j^{k_j} \leq x$ . //

Recall: **ENT1.** If  $p|ab$ , then  $p|a$  or  $p|b$ .

**ENT2.** If  $m, n$  are coprime, and both divide  $a$ , then  $mn|a$ .

**Theorem 2 (Chebyshev's Upper Estimates).**

(i)  $\theta(x) \leq (\log 4)x$ .

(ii)  $\pi(x) \leq (\log 4)li(x) + 4$ .

*Proof.* Fix  $n$ , and write  $N := \binom{2n+1}{n} = (2n+1)(2n)\dots(n+2)/n!$  Now,  $N = \binom{2n+1}{n} = \binom{2n+1}{n+1}$ , two terms from the binomial expansion of  $(1+1)^{2n+1} = 2^{2n+1}$ . So  $2N \leq 2^{2n+1} : N < 4^n$ , giving  $\log N < n \log 4$ .

Let  $p_{k+1}, \dots, p_m$  be the primes with  $n+2 \leq p \leq 2n+1$ , so  $\sum_{k+1}^m \log p_j = \theta(2n+1) - \theta(n+1)$ . By (ENT1), no such  $p$  divides  $n!$ , but each divides  $(2n+1)\dots(n+2) = n!N$ . So by (ENT1), each divides  $N$ , and by (ENT2) their product divides  $N$ , so is  $\leq N$ . So

$$\theta(2n+1) - \theta(n+1) = \log(p_{k+1} \dots p_m) \leq \log N < n \log 4. \quad (*)$$

We now show by induction that  $\theta(n) \leq n \log 4$  ( $n \geq 2$ ).

The induction starts, as  $\theta(2) = \log 2 \leq 2 \log 4$ .

Assume that the condition holds for all  $k \leq 2n$ , for  $n \geq 1$ .

Then in particular,  $\theta(n+1) \leq (n+1) \log 4$ , but we have by (\*):

$$\theta(2n+1) \leq (2n+1) \log 4.$$

Also,  $\theta(2n+2) = \theta(2n+1)$ , as  $2n+2$  is not prime. So

$$\theta(2n+2) \leq 2n+1 \log 4 \leq (2n+2) \log 4,$$

completing the induction. Part (ii) follows from (i), as  $\alpha \log 4 = 4$ . //

**Corollary 1.**  $\pi(x) \leq C_1 x / \log 2$  for  $x \geq 2$  and some constant  $c_1 \leq 3.1 \log 4$ .

*Proof.* By the Theorem and Problems 1. //

**Corollary 2.**  $\psi(x) \leq C_1 x$ .

*Proof.*  $\psi(x) \leq \pi(x) \log x$  and then apply Corollary 1. //

**Proposition 2.** For  $m$  the largest integer with  $2^m \leq x$ ,  $\psi(x) = \theta(x) + \theta(x^{1/2}) + \theta(x^{1/3}) + \dots + \theta(x^{1/m})$ . //

*Proof.* See J p. 76.

**Proposition 3.** (i)  $\psi(x) - \theta(x) \leq 6\sqrt{x}$  for  $x > 1$ .

(ii)  $\forall \epsilon > 0, \psi(x) \leq (\log 4 + \epsilon)x$  for large enough  $x$ .

*Proof.* For (i), use the result above, and as  $\theta(\cdot)$  is increasing:

$$\psi(x) - \theta(x) \leq \theta(\sqrt{x}) + m\theta(x^{1/3}) \quad (m \leq \log x / \log 2).$$

So by Chebyshev's Upper Estimate for  $\theta$ ,  $\psi(x) - \theta(x) \leq x^{1/2} \log 4 + 2x^{1/3} \log x$ . But  $x^{1/3} \log x \leq \frac{6}{e} x^{1/2}$  (check: the maximum of  $\log(x)/x^\alpha$  is  $1/(\alpha e)$ ). So  $\psi(x) - \theta(x) \leq (\log 4 + 12/e)x^{1/2} < 6x^{1/2}$ , giving (i). For (ii), use (i) and the fact that  $\theta(x) \leq (\log 4)x$ . //

**Corollary 3.**  $(\psi(x) - \theta(x))/x \rightarrow 0$  ( $x \rightarrow \infty$ ).

So if either of  $\psi(x)/x, \theta(x)/x$  has a limit, both do and they are the same. Now PNT is  $\pi(x) \sim li(x) \sim x/\log x$ . So ( $c = C$  in the first Chebyshev Theorem above) gives:

**Theorem (Equivalence Theorem).** The following are equivalent:

(i) PNT:  $\pi(x) \sim li(x) \sim x/\log x$ ; (ii)  $\psi(x) \sim x$ ; (iii)  $\theta(x) \sim x$ .