## M3P16 ANALYTIC NUMBER THEORY: SOLUTIONS TO EXAMINATION 2013

Q1 (i). Taking  $x = p_n$  in  $\pi(x) := \sum_{p \le x} 1$  gives  $\pi(p_n) = \sum_{p \le p_n} 1 = n$ . By PNT,  $\pi(x) \sim x/\log x$ , so  $n \sim p_n/\log p_n$ :

$$n\log p_n/p_n \to 1. \tag{1}$$

Taking logs of (1),  $\log n + \log \log p_n - \log p_n \to 0$ . Dividing this by  $\log p_n$ ,

$$\frac{\log n}{\log p_n} + \frac{\log \log p_n}{\log p_n} - 1 \to 0$$

But  $\log x = o(x)$ , so  $\log \log p_n = o(\log p_n)$ , so this says

$$\log n / \log p_n \to 1. \tag{2}$$

Multiply (1) and (2):  $n \log n/p_n \to 1$ , i.e.  $p_n \sim n \log n$ . // [8, seen] (ii) With  $d_n := p_{n+1} - p_n$ ,  $D(x) := \sum_{1 < n \le x} d_n = p_{[x]+1} - p_2 \sim p_{[x]+1} \sim x \log x$ , by (i). Abel summation with  $a_n := d_n$ ,  $b(t) := 1/\log t$   $(t \ge 2)$  gives

$$\sum_{1 < n \le x} \frac{d_n}{\log n} = \frac{D(x)}{\log x} + \int_2^x \frac{D(t)}{t \log^2 t} dt.$$

By above,  $D(t) \sim t \log t$ , so the first term on RHS  $\sim x$ . Also the integrand  $\sim 1/\log t$ , so the integral  $\sim x/\log x$ , so the second term is negligible w.r.t. the first term. So

$$\sum_{1 < n \le x} \frac{d_n}{\log n} \sim x.$$
 [6, unseen]

(iii)  $\liminf d_n / \log n \le 1$ : for if not, there exist  $\delta > 0$  and N with

$$d_n/\log n \ge 1 + \delta > 1 \qquad \forall \ n \ge N$$

Then

$$\sum_{1 < n \le x} \frac{d_n}{\log n} = \sum_{1}^{N-1} + \sum_{N \le n \le x} \dots \ge \sum_{1}^{N-1} \dots + (1+\delta) \sum_{N \le n \le x} 1:$$
$$\sum_{1 < n \le x} \frac{d_n}{\log n} \ge const + (1+\delta)([x] - N + 1),$$

 $\mathbf{SO}$ 

$$\liminf \frac{1}{x} \sum_{1 < n \le x} \frac{d_n}{\log n} \ge 1 + \delta > 1,$$

contradicting  $\lim \dots = 1$ . The same argument shows (by contradiction) that  $1 \leq \limsup \dots$  [6, unseen]

[(i) seen, Prob. 1 Q4; (ii), (iii) unseen]

Q2, Theorem (Mertens' Second theorem; HW Th. 427).

$$\sum_{p \le x} 1/p = \log \log x + C_1 + O(1/\log x) \qquad (x \ge 2),$$

for some constant  $C_1$ .

*Proof.* We use Abel summation, with

 $a(n) := \log n/n$  (*n* prime), 0 otherwise,  $A(x) := \sum_{\substack{n \le x} a_n. \\ [2, seen]}$ By Mertens' First Theorem,  $\sum_{p \leq x} \log p/p = \log x + O(1)$  (given),

$$A(x) = \log x + r(x), \qquad |r(.)| \le c_0 \qquad (x > 1),$$

a(1) = 0, and

$$\sum_{p \le x} 1/p = \sum_{2 \le n \le x} \frac{a(n)}{\log n}.$$
 [4, seen]

By Abel summation, this gives

$$\sum_{p \le x} 1/p = \frac{A(x)}{\log x} + \int_2^x \frac{A(t)}{t \log^2 t} dt = 1 + \frac{r(x)}{\log x} + \int_2^x \frac{dt}{t \log t} + I(x), \quad [\mathbf{4}, \text{seen}]$$

where

$$I(x) := \int_2^x \frac{r(t)}{t \log^2 t} dt.$$
 [2, seen]

But

$$\int_{2}^{x} \frac{1}{t \log t} dt = \log \log x - \log \log 2, \qquad [\mathbf{2}, \text{seen}]$$

$$\int_{2}^{\infty} \frac{dt}{t \log^{2} t} < \infty, \qquad \text{as} \qquad \frac{1}{t \log^{2} t} = -\frac{d}{dt} \left(\frac{1}{\log t}\right).$$
 [2, seen]

So  $I(x) \to I$ , finite, as  $x \to \infty$ , and

$$I(x) = I - s(x), \qquad |s(x)| \le c_0 \int_x^\infty \frac{dt}{t \log^2 t} = \frac{c_0}{\log x}.$$
 [2, seen]

This gives the result with  $C_1 := 1 - \log \log 2 + I$ . // **[2**, seen] [Seen, Lecture 13]

Q3. The *Möbius function*  $\mu$  is defined by  $\mu(1) := 1$ ;  $\mu(n) := (-)^k$  is n is a product of k distinct primes;  $\mu(n) = 0$  otherwise (i.e., if n is not square-free). [1, seen]

The von Mangoldt function  $\Lambda$  is defined by  $\Lambda(n) = \log p$  if  $n = p^m$  is a prime power, 0 otherwise. (i) For n = 1,  $u(1)\mu(1) = 1.1 = 1$ ; for n > 1,  $(u * \mu)(n) := \sum_{i|n} \mu(i)$ . If  $n = p_1^{r_1} \dots p_k^{r_k}$  (from FTA), the i > 1 with  $\mu(i) \neq 0$  are of the form  $i = q_1 \dots q_j$  with the qs distinct primes from  $\{p_1, \dots, p_k\}$ . There are  $\binom{k}{j}$  such choices, each giving an i with  $\mu(i) = (-)^j$ . As  $\binom{n}{0} = 1$ , this holds also for j = 0. So by the Binomial Theorem,

$$(u * \mu)(n) = \sum_{i|n} \mu(i) = \sum_{j=0}^{k} (-)^{j} \binom{k}{j} = (1-1)^{k} = 0.$$
 [4, seen]

(ii) The same proof gives

$$\sum_{i|n} |\mu(i)| = \sum_{j=0}^{k} \binom{k}{j} = (1+1)^{k} = 2^{k}.$$
 [2, seen]

(iii) With  $\ell(n) := \log n$ ,  $\Lambda(1) = \ell(1) = 0$ . For n > 1,  $n = p_1^{r_1} \dots p_k^{r_k}$ , say. Then  $(\Lambda * u)(n) = \sum_{i|n} \Lambda(i)$ . The divisors i of n are  $i = p_1^{s_1} \dots p_1^{s_k}, 0 \le s_j \le r_j$ . Those with  $\Lambda(i) \neq 0$  are those with  $i = p_j^{s_j}, 1 \le j \le k$ , each with  $\Lambda(i) = \log p_j$ . There are  $r_j$  possibilities for each j, so  $\sum_{i|n} \Lambda(i) = \sum_{j=1}^k r_j \log p_j = \log \prod_j p_j^{r_j} = \log n = \ell(n)$ . So  $\Lambda * u = \ell$ , and then  $\ell * \mu = \Lambda$  follows by Möbius inversion (here  $u = (u_n), u_n = 1$  for all n), i.e.  $\Lambda(n) = \sum_{d|n} \mu(n/d) \log d$ . //

(iv) On the left: the Dirichlet series of  $1/\zeta$  is  $\sum_{1}^{\infty} \mu(n)/n^{s}$ . Differentiating, that of  $D(1/\zeta)$  is  $-\sum_{1}^{\infty} \mu(n) \log n/n^{s}$ . On the right: the Dirichlet series of  $-\zeta'/\zeta$  is  $\sum_{1}^{\infty} \Lambda(n)/n^{s}$ . Since the product of Dirichlet series is the Dirichlet series of the Dirichlet convolution, (iv) follows. [4, unseen] (v) Now (iv) says  $-(\mu \ell) = \mu * \Lambda$ . So by Möbius inversion,  $\Lambda = -(\mu \ell) * u$ :

$$\Lambda(n) = -\sum_{d|n} \mu(d) \log d.$$
 [4, unseen]

[(i)-(iii) seen, Lectures 10-12, II.6,7; (iv), (v) unseen – HW Th. 297, 298.]

Q4. (i)  $3 + 4\cos\theta + \cos 2\theta = 2 + 4\cos\theta + 2\cos^2\theta = 2(1 + \cos\theta)^2$ . // [2, seen]

(ii) If all  $a_n \ge 0$  and the Dirichlet series  $f(s) := \sum_{1}^{\infty} a_n/n^s$  converges for  $Re \ s = \sigma > \sigma_0$ , then

$$3f(\sigma) + 4Ref(\sigma + it) + Ref(\sigma + 2it) \ge 0 \qquad (\sigma > \sigma_0).$$

Proof.  $3f(\sigma) + 4Ref(\sigma + it) + Ref(\sigma + 2it) = \sum_{n=1}^{\infty} \frac{a_n}{n^{\sigma}} Re(3 + 4n^{-it} + n^{-2it})$ . If  $\theta_n := t \log n$ ,  $Re(3 + 4n^{-it} + n^{-2it}) = 3 + 4 \cos \theta_n + \cos 2\theta_n \ge 0$ , and  $a_n/n^{\sigma} \ge 0$ . So the sum of their products is  $\ge 0$ . // [5, seen]

Hence, for  $\sigma > 1$  and all t,

$$H(\sigma) := \zeta(\sigma)^3 |\zeta(\sigma + it)|^4 |\zeta(\sigma + 2it)| \ge 1.$$

Proof.  $\log \zeta(s)$  has a Dirichlet series with non-negative coefficients,  $\log \zeta(s) = f(s) = \sum_{1}^{\infty} a_n/n^s$  for  $a_n \ge 0$   $(a_n = 1/m$  if  $n = p^m$  is a prime power, 0 otherwise). By the Proposition,  $3f(\sigma) + 4Ref(\sigma + it) + Ref(\sigma + 2it) \ge 0$ . So  $(\log z = \log(re^{i\theta}) = \log r + i\theta$ , so  $Re \log z = \log r = \log |z|)$ 

 $3\log\zeta(\sigma) + 4\log|\zeta(\sigma + it)| + \log|\zeta(\sigma + 2it)| \ge 0.$ 

Exponentiating gives the result. //

Hence:  $\zeta(1+it) \neq 0$  for  $t \neq 0$ .

*Proof* (by contradiction). If not,  $\zeta(1+it) = 0$  for some  $t \neq 0$ . Then

$$\frac{\zeta(\sigma+it)-\zeta(1+it)}{(\sigma+it)-(1+it)} = \frac{\zeta(\sigma+it)}{\sigma-1} \to \zeta'(1+it) \qquad (\sigma\downarrow 1),$$

as  $\zeta$  is holomorphic at 1 + it (indeed, everywhere except at 1 - proved in lectures). In the Corollary,

$$H(\sigma) = \left[ (\sigma - 1)\zeta(\sigma) \right]^3 \cdot \left( \frac{|\zeta(\sigma + it)|}{\sigma - 1} \right)^4 \cdot (\sigma - 1) \cdot \left[ |\zeta(\sigma + 2it)| \right].$$

Now  $(\sigma - 1)\zeta(\sigma) \to 1$   $(\sigma \downarrow 1)$   $(\zeta$  has a simple pole of residue 1 at 1). So  $[...]^3 \to 1; (...)^4 \to (\zeta'(1+it))^4$  by above;  $|\zeta(\sigma+2it)| \to \zeta(1+2it)$ . Combining, the third factor  $\sigma - 1$  gives  $H(\sigma) \to 0$  as  $\sigma \to 1$ , contradicting the Corollary above. // [6, seen]

This result is needed to ensure the holomorphy on the 1-line of  $-\zeta'/\zeta$ , whose Dirichlet series  $\sum_{1}^{\infty} \Lambda(n)/n^{s}$  encodes PNT. [2, seen] [Seen: Lecture 20, III.4]

[5, seen]